



# Satisfiability Modulo Theories for Arithmetic Problems

... and a lot of references



**Stanford University**

Contains mostly other people's work!



# Satisfiability Modulo Theories

$$\exists \bar{x}. \varphi(\bar{x})$$

Is an existential first-order formula satisfiable?



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Theories:

- ▶ uninterpreted functions
- ▶ arrays
- ▶ bit-vectors
- ▶ floating-point numbers
- ▶ arithmetic
- ▶ datatypes
- ▶ strings
- ▶ ...



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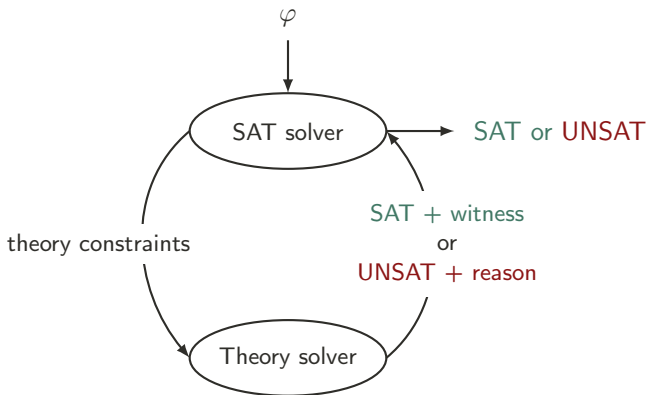
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Extensions:

- ▶ model generation
- ▶ unsat cores
- ▶ quantifiers
- ▶ optimization queries
- ▶ interpolants
- ▶ formal proofs
- ▶ ...



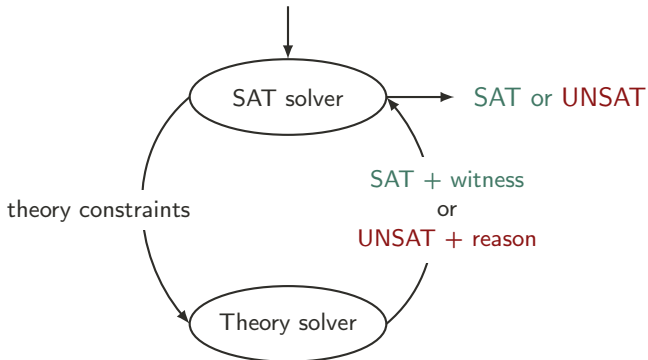
# SMT solving – CDCL(T)





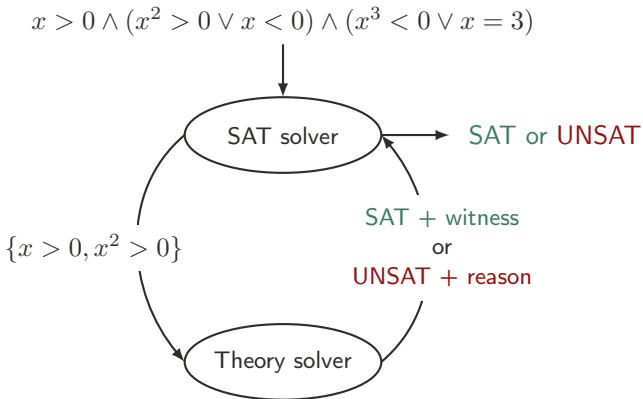
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$$x > 0 \wedge (x^2 > 0 \vee x < 0) \wedge (x^3 < 0 \vee x = 3)$$





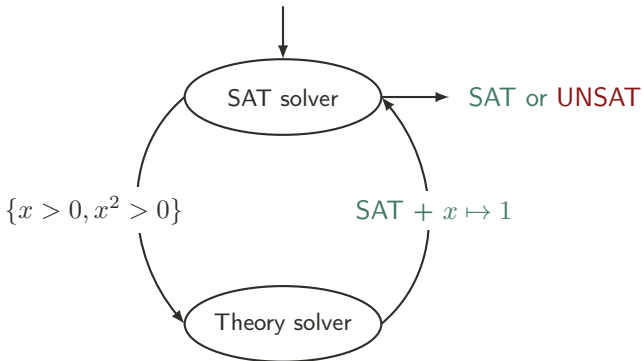
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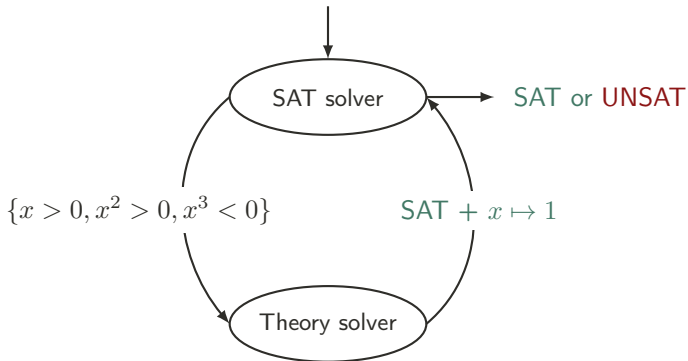






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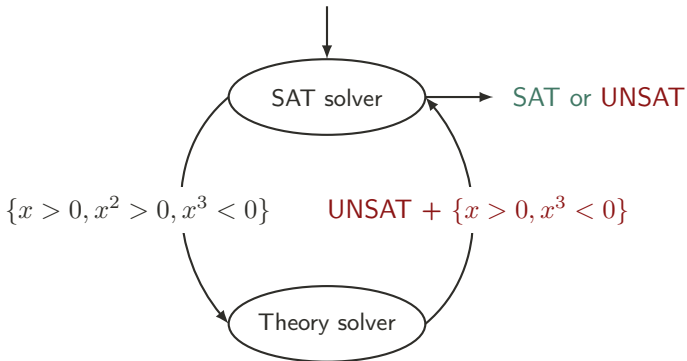
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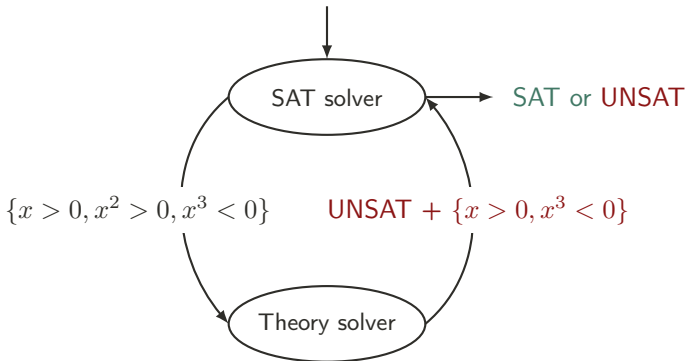
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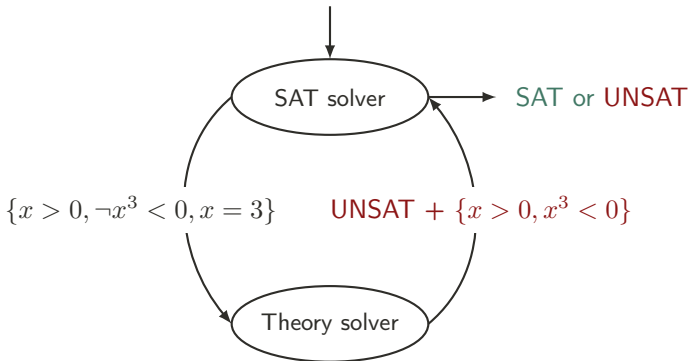
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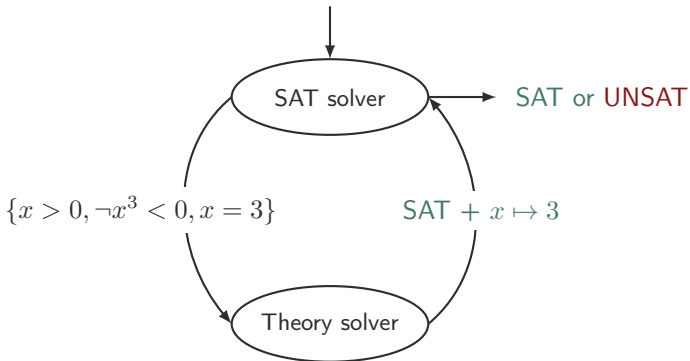
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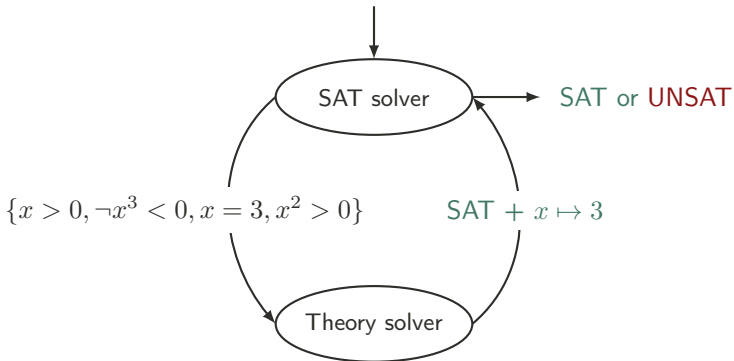
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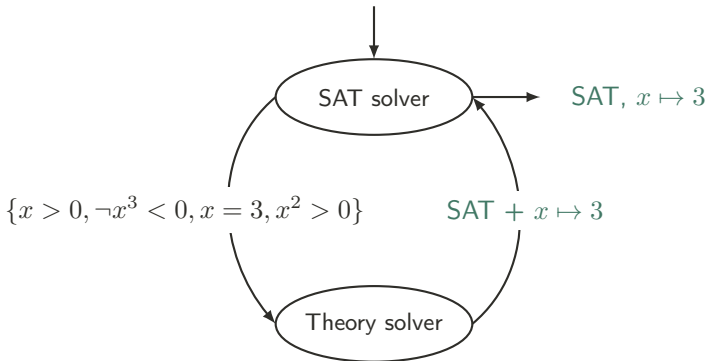
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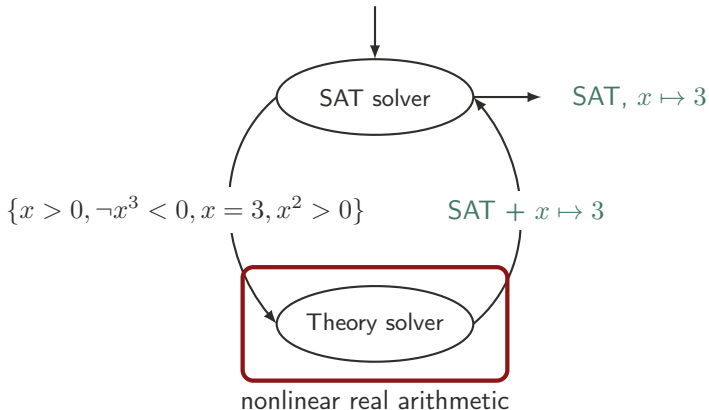
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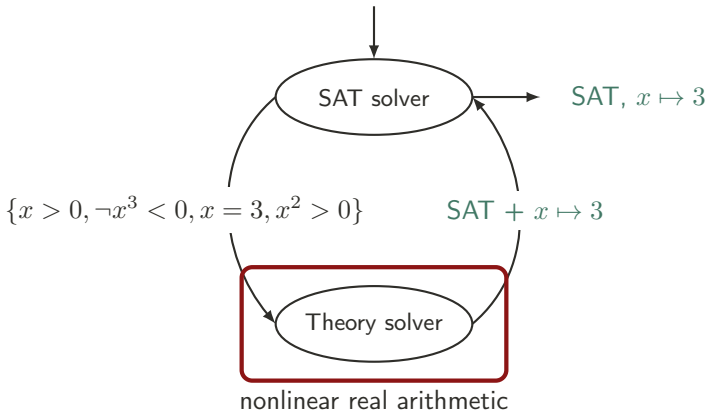






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Also: NLSAT/MCSAT [Jovanović et al. 2012] [Moura et al. 2013]



# SMT for Nonlinear Real Arithmetic

Here: Theory of the Reals



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### Nonlinear Real Arithmetic:

- ▶ real variables  $v := x_i \in \mathbb{R}$
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**Linear arithmetic:** essentially a solved problem.

Use Simplex (or sometimes Fourier-Motzkin)



# Theory of the Reals in a nutshell

- ▶ **complete** (we have decision procedures that are sound and complete)
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Some methods:

- ▶ [Tarski 1951] Tarski: first complete method, **non-elementary complexity**
- ▶ [Buchberger 1965] Gröbner bases: **limited applicability**, standard tool in CA
- ▶ [Collins 1974] CAD: **complete**, doubly exponential complexity
- ▶ [Weispfenning 1988] VS: **up to bounded degree**, singly exponential complexity
- ▶ [Gao et al. 2013] ICP: **heuristic interval reasoning**, incomplete
- ▶ [Fontaine et al. 2017] Subtropical satisfiability: incomplete **reduction to LRA**
- ▶ [Irfan 2018] Linearization: incomplete, **axiom instantiation**
- ▶ [Ábrahám et al. 2021] CDCAC: **conflict-driven CAD**
- ▶ and some more...





# SC-Square

## SC<sup>2</sup>

## Satisfiability Checking and Symbolic Computation

### Bridging Two Communities to Solve Real Problems

### Consortium of the EU-CSA project

University of Bath

RWTH Aachen

Fondazione Bruno Kessler

Università degli Studi di Genova

Maplesoft Europe Ltd

Université de Lorraine (LORIA)

Coventry University

University of Oxford

Universität Kassel

Max Planck Institut für Informatik

Universität Linz

James Davenport; Russell Bradford

Erika Abraham; Viktor Levandovskyy

Alberto Griggio; Alessandro Cimatti

Anna Bigatti

Jürgen Gerhard; Stephen Forrest

Pascal Fontaine

Matthew England

Daniel Kroening; Martin Brain

Werner Seiler; John Abbott

Thomas Sturm

Tudor Jebelean; Bruno Buchberger; Wolfgang Windsteiger; Roxana-Maria Holom



# Overview

- 1 SMT for NRA
- 2 Linearization
- 3 Interval Constraint Propagation
- 4 Subtropical Satisfiability
- 5 Gröbner Bases
- 6 Virtual Substitution
- 7 Cylindrical Algebraic Decomposition
- 8 Conflict-Driven Cylindrical Algebraic Coverings
- 9 Related topics



# Incremental linearization

[Irfan 2018] [Cimatti et al. 2018]

implicitly linearize:  $x \cdot y \rightsquigarrow a_{x \cdot y}$

$$x > 2 \wedge y > -1 \wedge x \cdot y < 2$$



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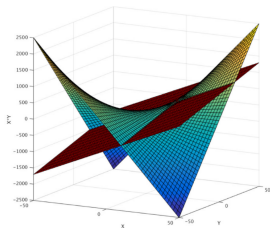
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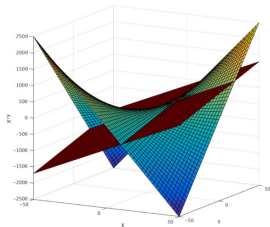
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$$(x \leq 3 \wedge y \leq 1) \vee (x \geq 3 \wedge y \geq 1)$$

$$\Leftrightarrow (x \cdot y \geq 1 \cdot x + 3 \cdot y - 3 \cdot 1)$$



[Cimatti et al. 2018]





## Incremental linearization – schemas

split zero  $\top \Rightarrow (t = 0 \vee t \neq 0)$

sign  $x > 0 \wedge y > 0 \Rightarrow xy > 0$

$x = 0 \Rightarrow xyz = 0$

magnitude  $|x| > |y| \Rightarrow |xz| > |yz|$

$|z| > |y| \wedge |u| > |w| \wedge |x| \geq 1 \Rightarrow |zuxx| > |yw|$

bounds  $x > 0 \wedge y > z + w \Rightarrow xy > x(z + w)$

resolution bounds  $y \geq 0 \wedge s \leq xz \wedge xy \leq t \Rightarrow ys \leq xt$

tangent plane  $(x \leq 3 \wedge y \leq 1) \vee (x \geq 3 \wedge y \geq 1) \Rightarrow xy \geq x + 3y - 3$



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Extensions:

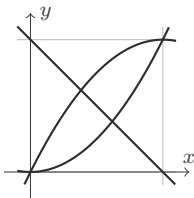
- ▶ **Repair model** (if easily possible)
- ▶ Transcendental functions ( $\sin$ ,  $\cos$ , ...)
- ▶ extended operators in general

## Question

Better linearization lemmas? Linearization lemmas for other functions?



# Interval Constraint Propagation

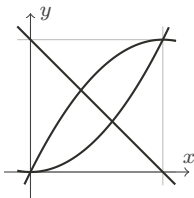


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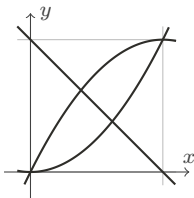
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$$x > 0.5x^2 + y \Rightarrow x \in (0, \infty)$$

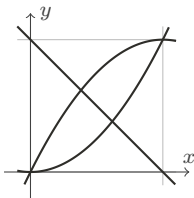
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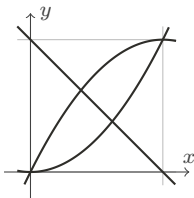
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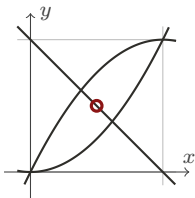
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$$\text{guess midpoint } (0.5, 0.5) \in (0, 1) \times (0, 1)$$

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# Interval Constraint Propagation

[Benhamou et al. 2006] [Gao et al. 2013] [Scheibler et al. 2013] [Schupp 2013] [Tung et al. 2017]

Core idea:

- ▶ Maintain **interval assignment** (that represents the **current box**)
- ▶ Perform **over-approximating** contractions until
  - ▶ the current box is **empty** (UNSAT),
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  - ▶ we reach a **threshold**.
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  - ▶ **split**:  $x \in [0, 5] \rightsquigarrow (x < 3 \vee x \geq 3)$
- ▶ **Incomplete** solving procedure
- ▶ Used as **preprocessor** for other techniques [Loup et al. 2013]
- ▶ **Delicate tuning** of heuristics (splitting, thresholds, model guessing)

## Question

Sensible initial bounds? Better propagation schemas?



# Subtropical satisfiability

[Fontaine et al. 2017] [Fontaine et al. 2018]

Core idea: reduce  $p = 0$  to a **linear** problem in the **exponents of  $p$**

- ▶ Assume  $p(1, \dots, 1) < 0$  (otherwise consider  $-p$ )
- ▶ Find  $x \in \mathbb{R}_+^n$  such that  $p(x) > 0$
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For  $n \geq 2$ : search **direction in exponent space** such that the **largest exponent in this direction** is positive. Increase  $x$  in **this direction** as necessary.



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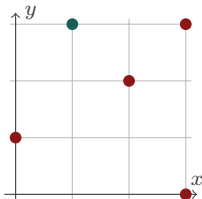
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For  $n \geq 2$ : search **direction in exponent space** such that the **largest exponent in this direction** is positive. Increase  $x$  in **this direction** as necessary.

$$p = -y + 2xy^3 - 3x^2y^2 - x^3 - 4x^3y^3$$





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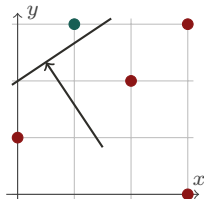
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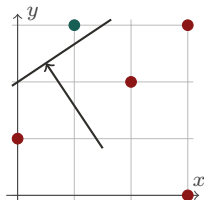
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Find hyperplane that separates a **positive** node

Encoding in QF\_LRA

Growing degree only impacts coefficient size





# Gröbner basis

[Buchberger 1965] [Junges 2012]

- ▶ **Canonical generators** for a polynomial ideal
- ▶ For us: **Normal form** for sets of polynomials
- ▶ Maintains set of **common complex roots**
- ▶ The workhorse of **computer algebra** for **polynomial equalities**
- ▶ **Mature implementations** (every CAS)
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Relevant for SMT:  $\exists x \in \mathbb{C}^n . p(x) = 0$

But: What about inequalities? How to go from  $\mathbb{C}$  to  $\mathbb{R}$ ?  
see [Junges 2012] for some approaches.

## Question

How to construct models? How to obtain infeasible subsets?



# Virtual Substitution

[Weispfenning 1988] [Weispfenning 1997] [Košta et al. 2015] [Košta 2016] [Nalbach 2017]

Core idea:

- ▶ Use **solution formula** to solve polynomial equation for  $x$
- ▶ **Substitute value** for  $x$  into remaining equations
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# Virtual Substitution

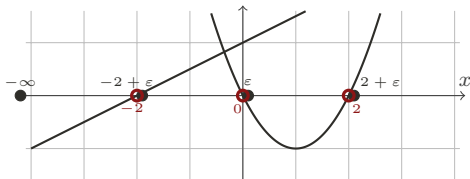
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What about **inequalities**?

- ▶ Construct test candidates for all **sign-invariant** regions in  $x$
- ▶ Always try the **roots** and the **smallest values of the intermediate intervals**



- ▶ Introduces special terms  $t + \epsilon$  and  $-\infty$



# Virtual Substitution

**Algorithmic core:** a collection of substitution rules

**Example:** Substitute  $e + \varepsilon$  for  $x$  into  $a \cdot x^2 + b \cdot x + c > 0$ :

$$\begin{array}{l} \vee \quad ( (ax^2 + bx + c > 0)[e//x] ) \\ \vee \quad ( (ax^2 + bx + c = 0)[e//x] \wedge (2ax + b > 0)[e//x] ) \\ \vee \quad ( (ax^2 + bx + c = 0)[e//x] \wedge (2ax + b = 0)[e//x] \wedge (2a > 0)[e//x] ) \end{array}$$



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Not always applicable:

- ▶ Solution formulas only exist **up to degree four**
- ▶ The above rule may introduce a **degree growth**
- ▶ **Efficient** if applicable
- ▶ [Košta et al. 2015] uses FO formulas, allows **arbitrary but fixed degrees**  
(needs precomputed substitution rules obtained by quantifier elimination)



# Cylindrical Algebraic Decomposition

The core idea: **sign-invariance** (or rather **truth-table equivalence**)

$$\text{sgn}(p(a)) = \text{sgn}(p(b)) \quad \forall p \in \varphi \quad \Rightarrow \quad \varphi(a) = \varphi(b)$$

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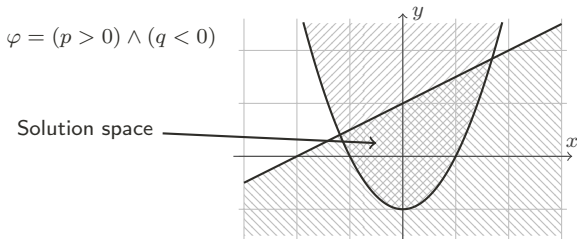
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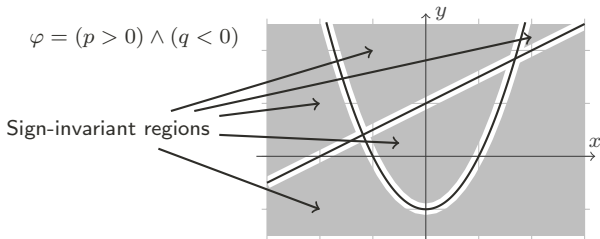
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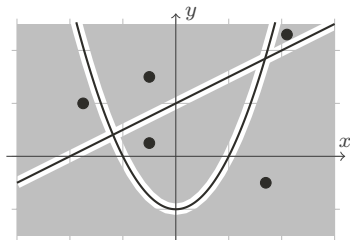
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Sample points







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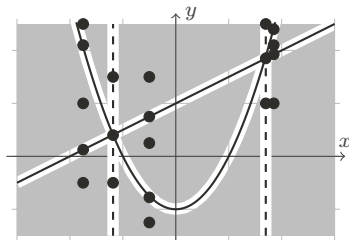
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Actual sample points

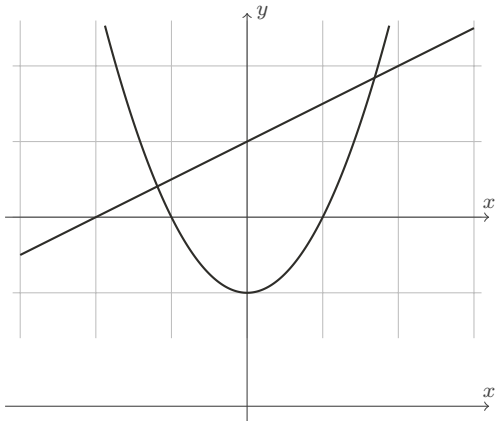
Arranged in **cylinders**





# Cylindrical Algebraic Decomposition in $\mathbb{R}^2$

Proceed **dimension-wise**: project to lower-dimensional problem, lift results.



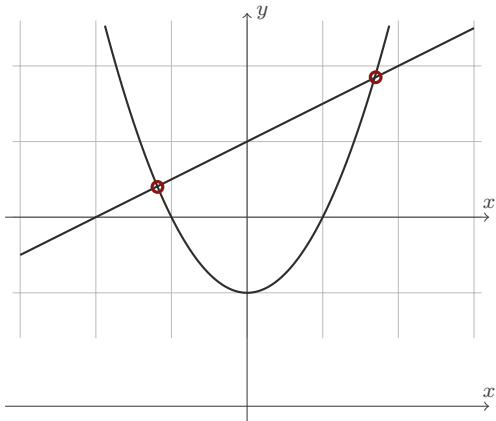


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Intuition

Critical points





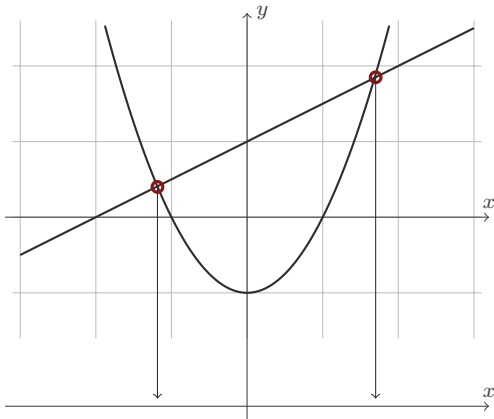
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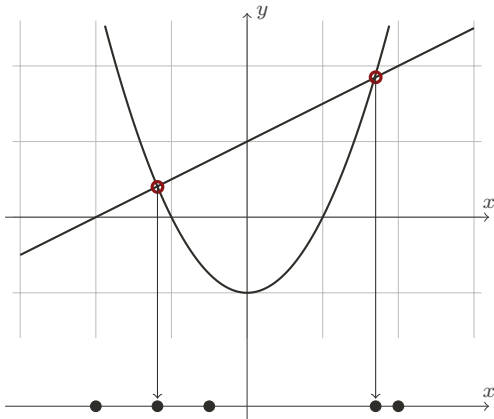
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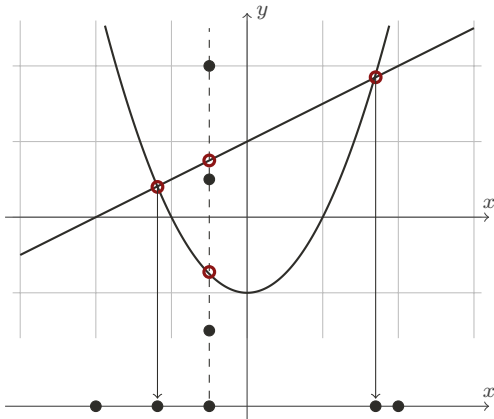
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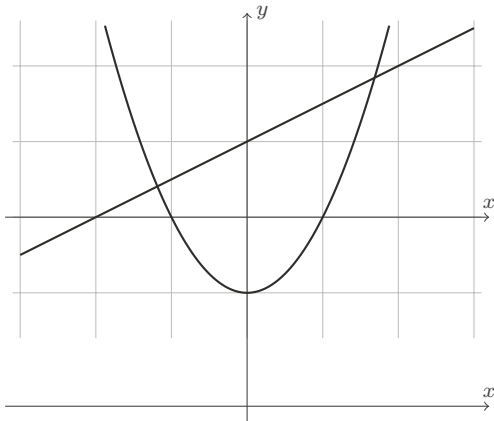
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## Implementation

Cylindrical Algebraic Decomposition in  $\mathbb{R}^2$ 

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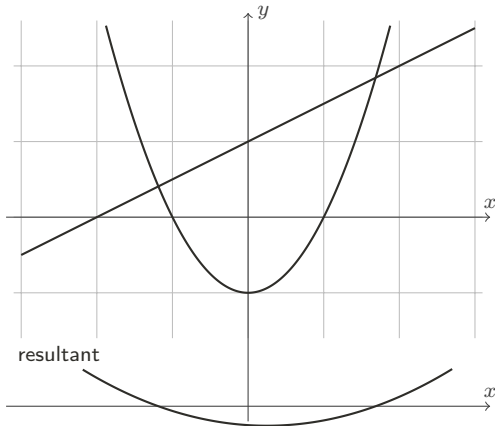
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**Implementation**

Project polynomials



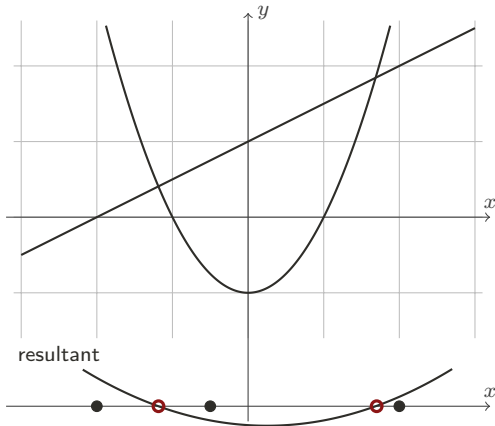


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Project sample  
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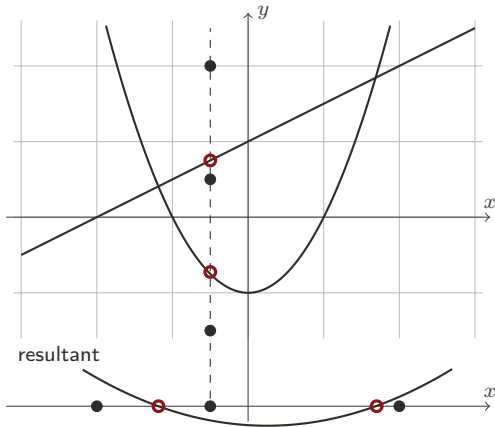
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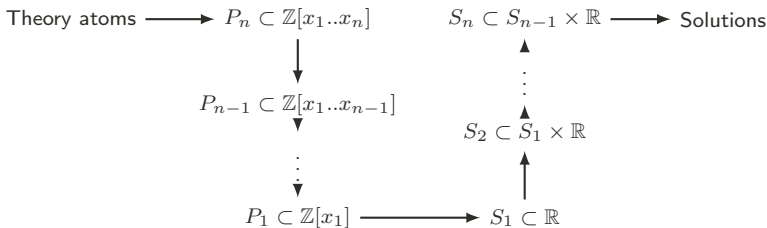
Lift to 2-dim

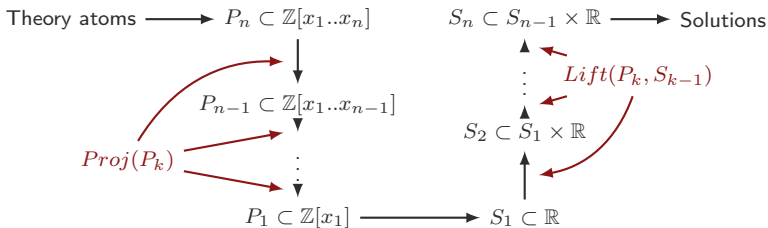
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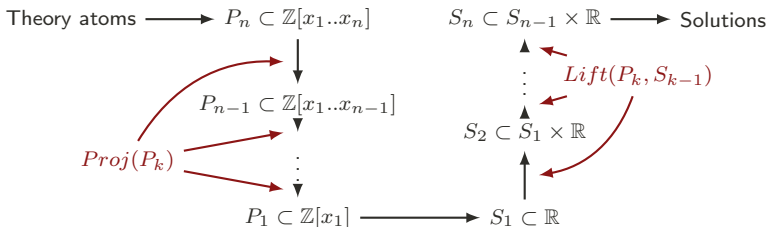
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Cylindrical Algebraic Decomposition in  $\mathbb{R}^n$ 

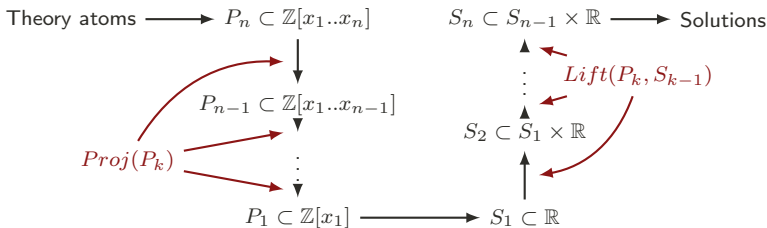
Cylindrical Algebraic Decomposition in  $\mathbb{R}^n$ 

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Projection:

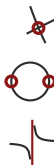
- Intersections (resultants)
- Flipping points (discriminants)
- Singularities (coefficients)



Cylindrical Algebraic Decomposition in  $\mathbb{R}^n$ 

Projection:

- ▶ Intersections (resultants)
- ▶ Flipping points (discriminants)
- ▶ Singularities (coefficients)



Lifting:

- ▶ **Substitution**  $s \in S_k, p \in P_{k+1}$   
 $p(s) \rightarrow p' \in \mathbb{Z}[x_{k+1}]^{***}$
- ▶ Isolate real roots of  $p'$



# Final notes on CAD

- ▶ Asymptotic complexity:  $(n \cdot m)^{2^r}$  ( $r$  variables,  $m$  polynomials of degree  $n$ )
- ▶ Oftentimes way faster, but worst-case occurs in practice!
- ▶ Best complete method that is known and implemented. [Hong 1991]
- ▶ Active research:
  - ▶ Projection [McCallum 1984] [McCallum 1988] [Hong 1990] [Lazard 1994] [Brown 2001] [McCallum 2001] [McCallum et al. 2016] [McCallum et al. 2019]; [Strzeboński 2000] [Seidl et al. 2003] [Jovanović et al. 2012] [Brown 2013] [Strzeboński 2014] [Brown et al. 2015]
  - ▶ Lifting [Collins 1974] [Lazard 1994] [McCallum et al. 2016] [McCallum et al. 2019]
  - ▶ Equational constraints [Collins 1998] [McCallum 1999] [McCallum 2001] [England et al. 2015] [Haehn et al. 2018] [Nair et al. 2019]
  - ▶ Variable ordering [England et al. 2014] [Huang et al. 2014] [Nalbach et al. 2019] [Florescu et al. 2019]
  - ▶ Adaptions [Jovanović et al. 2012] [Brown 2013] [Brown 2015] [Ábrahám et al. 2021]
- ▶ Implementation needs groundwork: polynomial computation (resultants, multivariate gcd, optionally multivariate factorization), real algebraic numbers (representation, multivariate root isolation)



# Conflict-Driven Cylindrical Algebraic Coverings

[Ábrahám et al. 2021]

Core idea: use **CAD techniques** in a **conflict-driven** way.

My intuition: MCSAT turned into a theory solver.





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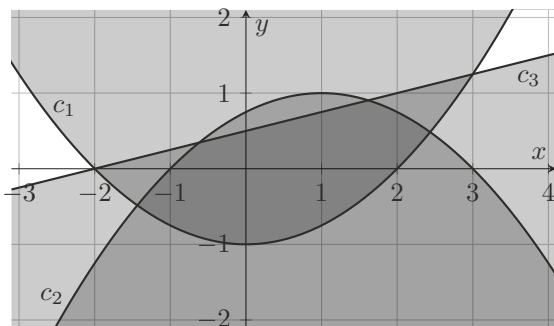
My intuition: MCSAT turned into a theory solver.

- ▶ Fix a **variable ordering**
- ▶ For the  $k$ th variable
  - ▶ Use constraints to **exclude unsatisfiable intervals**
  - ▶ **Guess** a value for the  $k$ th variable
  - ▶ Recurse to  $k + 1$ st variable and obtain
    - ▶ a **full variable assignment** ( $\rightarrow$  return SAT)
    - ▶ or a **covering for the  $k + 1$ st variable**
  - ▶ Use **CAD machinery** to infer an interval for the  $k$ th variable
- ▶ Until the collected intervals form a **covering** for the  $k$ th variable



# An example

$$c_1 : 4 \cdot y < x^2 - 4 \quad c_2 : 4 \cdot y > 4 - (x - 1)^2 \quad c_3 : 4 \cdot y > x + 2$$

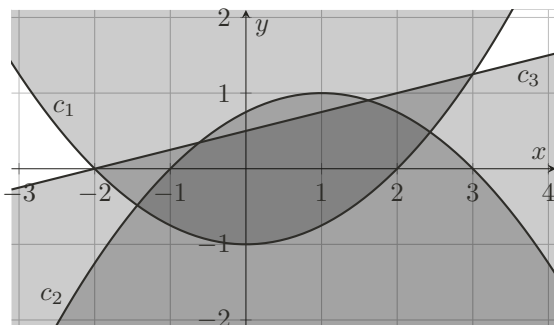




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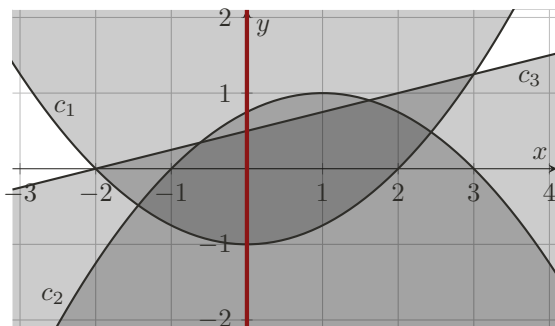
No constraint for  $x$





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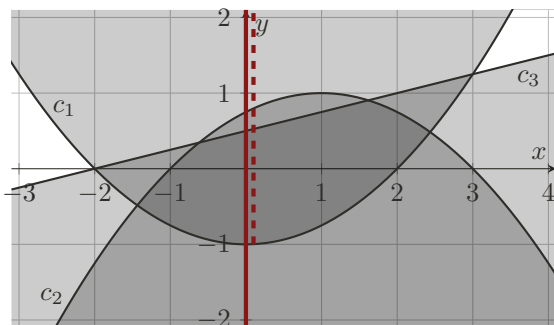


No constraint for  $x$   
Guess  $x \mapsto 0$



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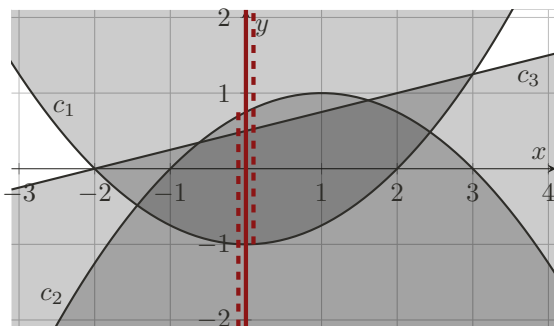
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$c_1 \rightarrow y \notin (-1, \infty)$



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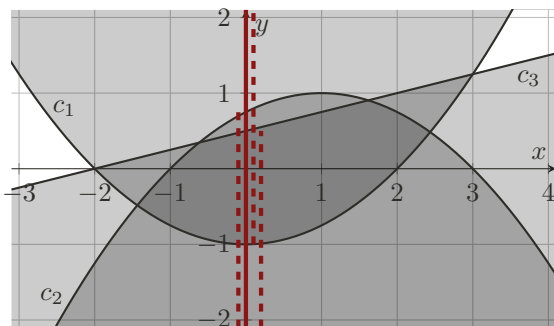
$$c_1 \rightarrow y \notin (-1, \infty)$$

$$c_2 \rightarrow y \notin (-\infty, 0.75)$$



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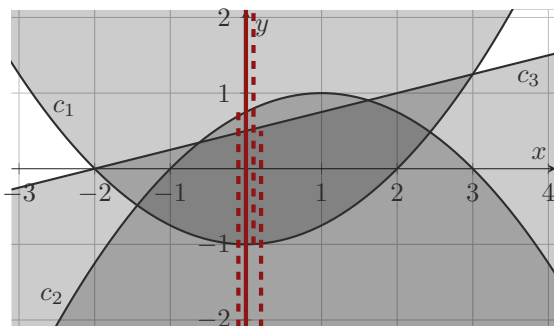
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Construct covering

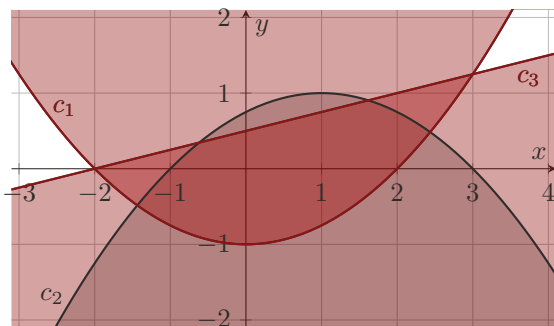
$$(-\infty, 0.5), (-1, \infty)$$





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Guess  $x \mapsto 0$

$$c_1 \rightarrow y \notin (-1, \infty)$$

$$c_2 \rightarrow y \notin (-\infty, 0.75)$$

$$c_3 \rightarrow y \notin (-\infty, 0.5)$$

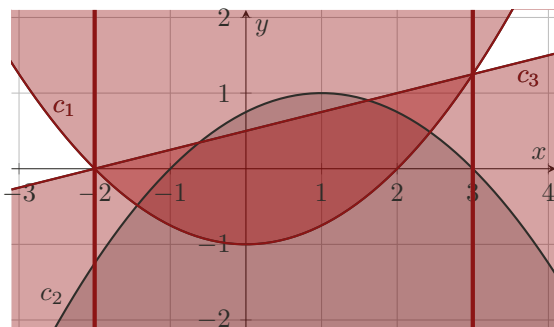
Construct covering

$$(-\infty, 0.5), (-1, \infty)$$



# An example

$$c_1 : 4 \cdot y < x^2 - 4 \quad c_2 : 4 \cdot y > 4 - (x - 1)^2 \quad c_3 : 4 \cdot y > x + 2$$



No constraint for  $x$

Guess  $x \mapsto 0$

$$c_1 \rightarrow y \notin (-1, \infty)$$

$$c_2 \rightarrow y \notin (-\infty, 0.75)$$

$$c_3 \rightarrow y \notin (-\infty, 0.5)$$

Construct covering

$$(-\infty, 0.5), (-1, \infty)$$

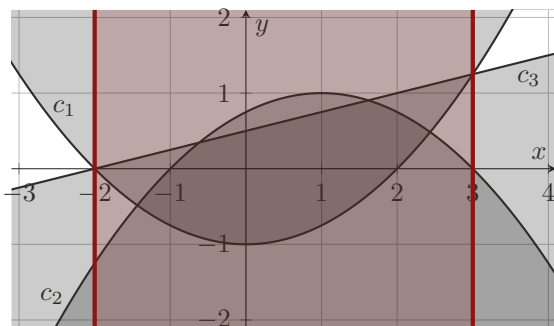
Construct interval for  $x$

$$x \notin (-2, 3)$$



## An example

$$c_1 : 4 \cdot y < x^2 - 4 \quad c_2 : 4 \cdot y > 4 - (x - 1)^2 \quad c_3 : 4 \cdot y > x + 2$$



No constraint for  $x$

Guess  $x \mapsto 0$

$$c_1 \rightarrow y \notin (-1, \infty)$$

$$c_2 \rightarrow y \notin (-\infty, 0.75)$$

$$c_3 \rightarrow y \notin (-\infty, 0.5)$$

Construct covering

$$(-\infty, 0.5), (-1, \infty)$$

Construct interval for  $x$

$$x \notin (-2, 3)$$

New guess for  $x$



## The main algorithm

```
function get_unsat_cover(( $s_1, \dots, s_{i-1}$ ))
```

```
   $\mathbb{I} := \text{get\_unsat\_intervals}(s)$ 
```

```
  while  $\bigcup_{I \in \mathbb{I}} I \neq \mathbb{R}$  do
```

```
     $s_i := \text{sample\_outside}(\mathbb{I})$ 
```

```
    if  $i = n$  then return (SAT, ( $s_1, \dots, s_{i-1}, s_i$ ))
```

```
    ( $f, O$ ) := get_unsat_cover(( $s_1, \dots, s_{i-1}, s_i$ ))
```

```
    if  $f = \text{SAT}$  then return (SAT,  $O$ )
```

```
    else if  $f = \text{UNSAT}$  then
```

```
       $R := \text{construct\_characterization}((s_1, \dots, s_{i-1}, s_i), O)$ 
```

```
       $J := \text{interval\_from\_characterization}((s_1, \dots, s_{i-1}), s_i, R)$ 
```

```
       $\mathbb{I} := \mathbb{I} \cup \{J\}$ 
```

```
    end
```

```
end
```

```
return (UNSAT,  $\mathbb{I}$ )
```



## The main algorithm

```
function get_unsat_cover(( $s_1, \dots, s_{i-1}$ ))
```

```
 $\mathbb{I} := \text{get\_unsat\_intervals}(s)$ 
```

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while  $\bigcup_{I \in \mathbb{I}} I \neq \mathbb{R}$  do
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  if  $i = n$  then return (SAT, ( $s_1, \dots, s_{i-1}, s_i$ ))
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```
  ( $f, O$ ) := get_unsat_cover(( $s_1, \dots, s_{i-1}, s_i$ ))
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  if  $f = \text{SAT}$  then return (SAT,  $O$ )
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```

```
     $\mathbb{I} := \mathbb{I} \cup \{J\}$ 
```

```
  end
```

```
end
```

```
return (UNSAT,  $\mathbb{I}$ )
```

Real root isolation over a partial sample point



## The main algorithm

```
function get_unsat_cover(( $s_1, \dots, s_{i-1}$ ))
```

```
 $\mathbb{I} := \text{get\_unsat\_intervals}(s)$ 
```

```
while  $\bigcup_{I \in \mathbb{I}} I \neq \mathbb{R}$  do
```

```
   $s_i := \text{sample\_outside}(\mathbb{I})$ 
```

```
  if  $i = n$  then return (SAT, ( $s_1, \dots, s_{i-1}, s_i$ ))
```

```
  ( $f, O$ ) := get_unsat_cover(( $s_1, \dots, s_{i-1}, s_i$ ))
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  if  $f = \text{SAT}$  then return (SAT,  $O$ )
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  else if  $f = \text{UNSAT}$  then
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     $R := \text{construct\_characterization}((s_1, \dots, s_{i-1}, s_i), O)$ 
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```

```
     $\mathbb{I} := \mathbb{I} \cup \{J\}$ 
```

```
  end
```

```
end
```

```
return (UNSAT,  $\mathbb{I}$ )
```

Real root isolation over a partial sample point

Select sample from  $\mathbb{R} \setminus \mathbb{I}$



## The main algorithm

```
function get_unsat_cover(( $s_1, \dots, s_{i-1}$ ))
```

```
 $\mathbb{I} := \text{get\_unsat\_intervals}(s)$ 
```

```
while  $\bigcup_{I \in \mathbb{I}} I \neq \mathbb{R}$  do
```

```
   $s_i := \text{sample\_outside}(\mathbb{I})$ 
```

```
  if  $i = n$  then return (SAT, ( $s_1, \dots, s_{i-1}, s_i$ ))
```

```
  ( $f, O$ ) := get_unsat_cover(( $s_1, \dots, s_{i-1}, s_i$ ))
```

```
  if  $f = \text{SAT}$  then return (SAT,  $O$ )
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```
  else if  $f = \text{UNSAT}$  then
```

```
     $R := \text{construct\_characterization}((s_1, \dots, s_{i-1}, s_i), O)$ 
```

```
     $J := \text{interval\_from\_characterization}((s_1, \dots, s_{i-1}), s_i, R)$ 
```

```
     $\mathbb{I} := \mathbb{I} \cup \{J\}$ 
```

```
  end
```

```
end
```

```
return (UNSAT,  $\mathbb{I}$ )
```

Real root isolation over a partial sample point

Select sample from  $\mathbb{R} \setminus \mathbb{I}$

Recurse to next variable



# The main algorithm

```
function get_unsat_cover(( $s_1, \dots, s_{i-1}$ ))
```

```
 $\mathbb{I} := \text{get\_unsat\_intervals}(s)$ 
```

```
while  $\bigcup_{I \in \mathbb{I}} I \neq \mathbb{R}$  do
```

```
   $s_i := \text{sample\_outside}(\mathbb{I})$ 
```

```
  if  $i = n$  then return (SAT, ( $s_1, \dots, s_{i-1}, s_i$ ))
```

```
  ( $f, O$ ) := get_unsat_cover(( $s_1, \dots, s_{i-1}, s_i$ ))
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```
  if  $f = \text{SAT}$  then return (SAT,  $O$ )
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  else if  $f = \text{UNSAT}$  then
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```
     $R := \text{construct\_characterization}((s_1, \dots, s_{i-1}, s_i))$ 
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```

```
     $\mathbb{I} := \mathbb{I} \cup \{J\}$ 
```

```
  end
```

```
end
```

```
return (UNSAT,  $\mathbb{I}$ )
```

Real root isolation over a partial sample point

Select sample from  $\mathbb{R} \setminus \mathbb{I}$

Recurse to next variable

CAD-style projection:  
Roots of polynomials restrict where covering is still applicable





# The main algorithm

```
function get_unsat_cover(( $s_1, \dots, s_{i-1}$ ))
```

```
 $\mathbb{I} := \text{get\_unsat\_intervals}(s)$ 
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```
  ( $f, O$ ) := get_unsat_cover(( $s_1, \dots, s_{i-1}, s_i$ ))
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```
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```
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```

```
     $J := \text{interval\_from\_characterization}((s_1, \dots, s_{i-1}, s_i), R)$ 
```

```
     $\mathbb{I} := \mathbb{I} \cup \{J\}$ 
```

```
  end
```

```
end
```

```
return (UNSAT,  $\mathbb{I}$ )
```

Real root isolation over a partial sample point

Select sample from  $\mathbb{R} \setminus \mathbb{I}$

Recurse to next variable

CAD-style projection:  
Roots of polynomials restrict where covering is still applicable

Extract interval from polynomials



# The main algorithm

```
function get_unsat_cover(( $s_1, \dots, s_{i-1}$ ))
```

```
 $\mathbb{I} := \text{get\_unsat\_intervals}(s)$ 
```

```
while  $\bigcup_{I \in \mathbb{I}} I \neq \mathbb{R}$  do
```

```
   $s_i := \text{sample\_outside}(\mathbb{I})$ 
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```
  if  $i = n$  then return (SAT, ( $s_1, \dots, s_{i-1}, s_i$ ))
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```
  ( $f, O$ ) := get_unsat_cover(( $s_1, \dots, s_{i-1}, s_i$ ))
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```
     $\mathbb{I} := \mathbb{I} \cup \{J\}$ 
```

```
  end
```

```
end
```

```
return (UNSAT,  $\mathbb{I}$ )
```

Real root isolation over a partial sample point

Select sample from  $\mathbb{R} \setminus \mathbb{I}$

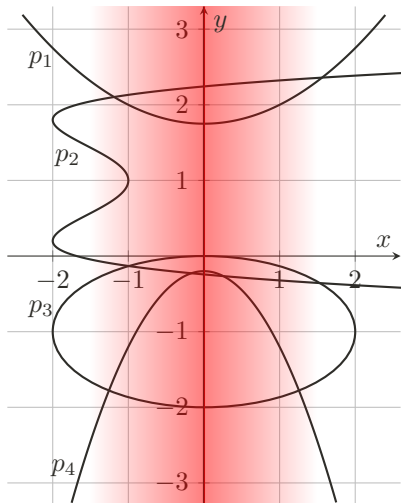
Recurse to next variable

CAD-style projection:  
Roots of polynomials restrict where covering is still applicable

Extract interval from polynomials



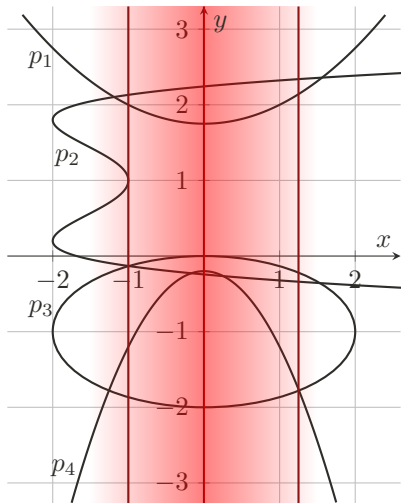
# construct\_characterization



Identify region around sample



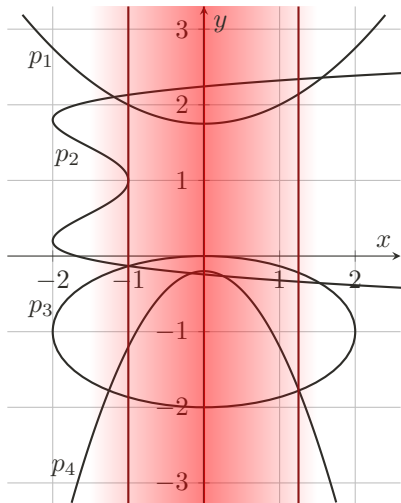
# construct\_characterization



Identify region around sample



# construct\_characterization



Identify region around sample

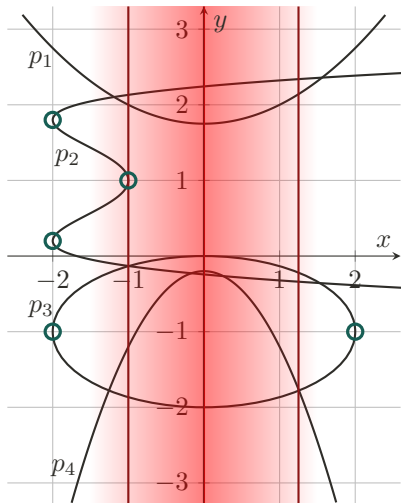
CAD projection:

Discriminants (and coefficients)

Resultants



# construct\_characterization



Identify region around sample

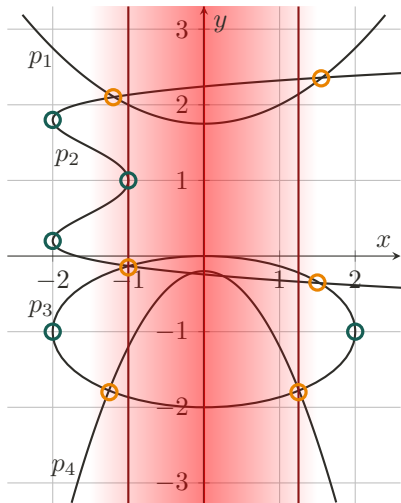
CAD projection:

Discriminants (and coefficients)

Resultants



# construct\_characterization



Identify region around sample

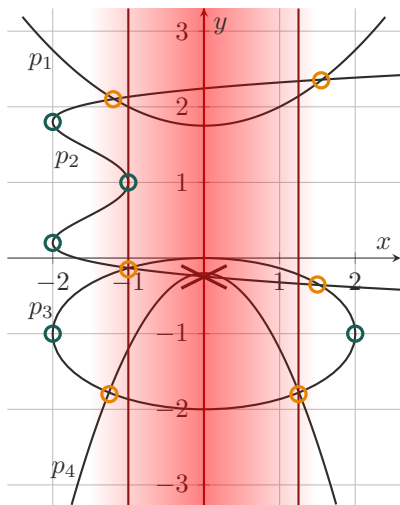
CAD projection:

Discriminants (and coefficients)

Resultants



# construct\_characterization



Identify region around sample

CAD projection:

Discriminants (and coefficients)

Resultants

Improvement over CAD:

Resultants between

neighbouring intervals only!





## Other methods for (QF\_)NRA

- ▶ Numerical methods [Kremer 2013]:  
focus on **good approximation**, but no **formal guarantees**
- ▶ Tarski's method [Tarski 1951]:  
**theoretical** breakthrough only, non-elementary complexity
- ▶ Grigor'ev and Vorobjov [Grigor'ev et al. 1988], Renegar [Renegar 1988]:  
**singly exponential**, but impractical (see [Hong 1991])
- ▶ Basu, Pollack and Roy [Basu et al. 1996]:  
"realizable sign conditions", **has not been implemented** (yet)
- ▶ Other CAD-based methods:  
Regular Chains [Chen et al. 2009], NuCAD [Brown 2015]



## Beyond QF\_NRA

- ▶ Quantifiers:
  - ▶ Theory of the Reals **admits quantifier elimination**
  - ▶ CAD constructs  $\varphi'$  for  $Q_x \varphi(x, y) \Leftrightarrow \varphi'(y)$
- ▶ Theory combination with Array, BV, FP, String, ... [Nelson et al. 1979]
- ▶ **Transcendentals**: extend linearization [Cimatti et al. 2018] [Irfan 2018]
- ▶ **Optimization**: CAD can **optimize for an objective** [Kremer 2020]
- ▶ **Integers**: Branch&Bound complements BitBlasting [Kremer et al. 2016]



# Beyond CDCL(T)-style SMT

Other approaches for (QF\_)NRA:

- ▶ MCSAT / NLSAT:
  - ▶ Theory model construction integrated in the core solver
  - ▶ SMT-RAT, yices, z3 [Jovanović et al. 2012] [Jovanović et al. 2013] [Moura et al. 2013] [Nalbach et al. 2019] [Kremer 2020]
- ▶ CAD is a **stand-alone tool**:
  - ▶ Maple / RegularChains [Chen et al. 2009]
  - ▶ Mathematica [Strzeboński 2014]
  - ▶ QEPCAD B [Brown 2003]
  - ▶ Redlog / Reduce [Dolzmann et al. 1997]

These can be **integrated as theory solvers** [Fontaine et al. 2018] [Kremer 2018]



## Some results...

## Experiments on QF\_NRA (11489 in total)

QF_NRA	sat	unsat	solved
cvc5	<b>5137</b>	<b>5596</b>	<b>10733</b>
Yices2	4966	5450	10416
z3	5136	5207	10343
cvc5.cov	5001	5077	10078
SMT-RAT	4828	5038	9866
veriT	4522	5034	9556
MathSAT	3645	5357	9002
cvc5.inclin	3421	5376	8797

Thank you for your attention!  
Any questions?



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