

Deciding the Consistency of Non-Linear Real Arithmetic Constraints with a Conflict Driven Search Using Cylindrical Algebraic Coverings

A conflict-driven adaption of CAD

Based on [Ábrahám et al. 2020]



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... what?

Deciding the Consistency of Non-Linear Real Arithmetic
Constraints with a Conflict Driven Search Using
Cylindrical Algebraic Coverings

... what?

Motivation: SMT solving

Find SAT or UNSAT




Deciding the **Consistency** of Non-Linear Real Arithmetic
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Sets of constraints
Polynomial inequalities

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Make guesses
Learn from errors

... what?

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Deciding the Consistency of Non-Linear Real Arithmetic
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Borrow theory from CAD
Apply it differently

Make guesses
Learn from errors

Goals of this talk

Convince you that

- ▶ this approach is **solidly based on CAD theory** and
- ▶ all the underlying **machinery can be re-used** from CAD.

However, also convince you that

- ▶ this approach has **real benefits compared to CAD**,
- ▶ is reasonably **easy to implement**, and
- ▶ **performs well** in practice.

In a nutshell

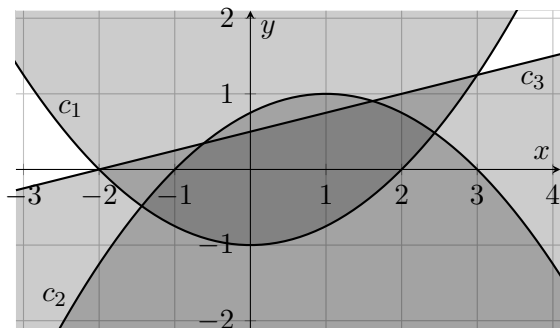
- ▶ Fix a **variable ordering**
- ▶ For the k th variable
 - ▶ Use constraints to **exclude unsatisfiable intervals**
 - ▶ **Guess** a value for the k th variable
 - ▶ Recurse to $k + 1$ st variable and obtain
 - ▶ a **full variable assignment** (\rightarrow return SAT)
 - ▶ or a **covering for the $k + 1$ st variable**
 - ▶ Use **CAD machinery** to infer an interval from this covering
- ▶ Until the collected intervals form a **covering** for the k th variable

Called for the first variable, we get either

- ▶ a **model**, or
- ▶ a **conflict** (formulated as a covering).

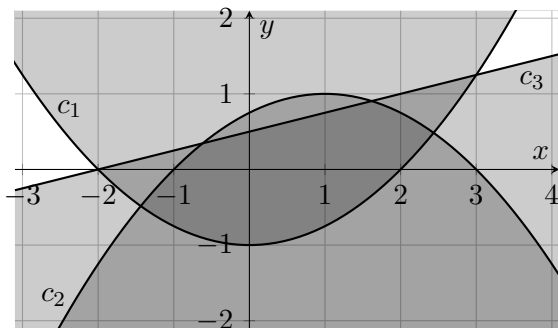
An example

$$c_1 : 4 \cdot y < x^2 - 4 \quad c_2 : 4 \cdot y > 4 - (x - 1)^2 \quad c_3 : 4 \cdot y > x + 2$$



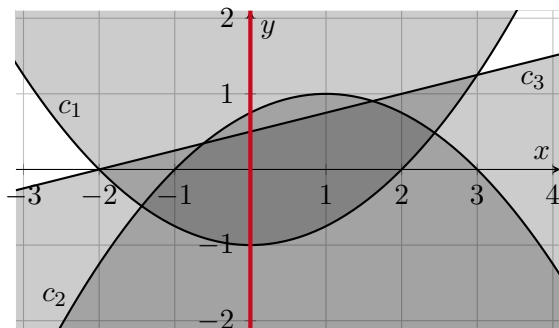
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No constraint for x 

An example

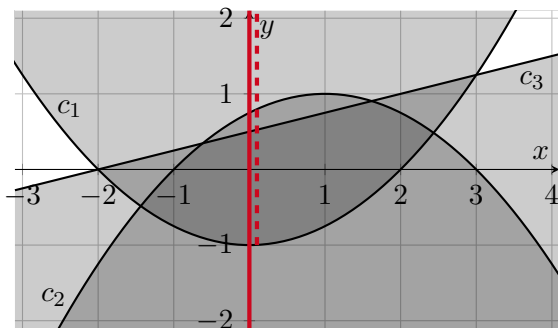
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No constraint for x
Guess $x \mapsto 0$

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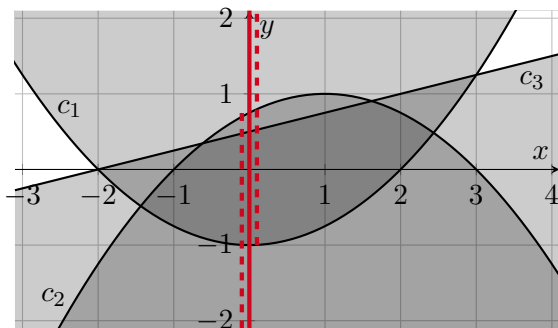
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$c_1 \rightarrow y \notin (-1, \infty)$

An example

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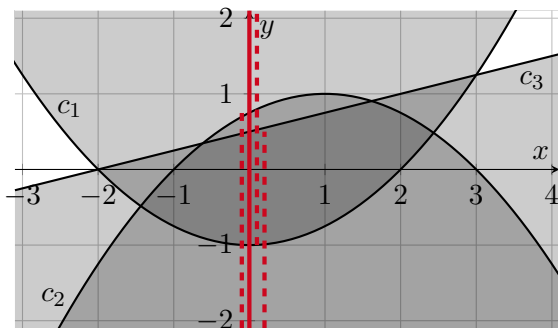
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$$c_1 \rightarrow y \notin (-1, \infty)$$

$$c_2 \rightarrow y \notin (-\infty, 0.75)$$

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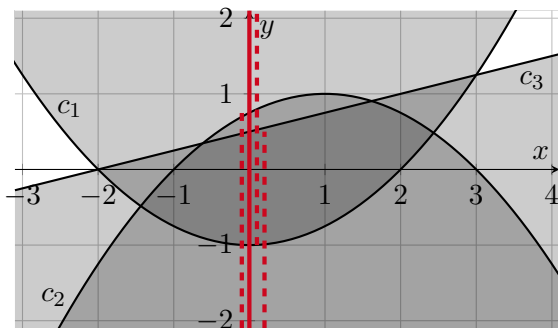
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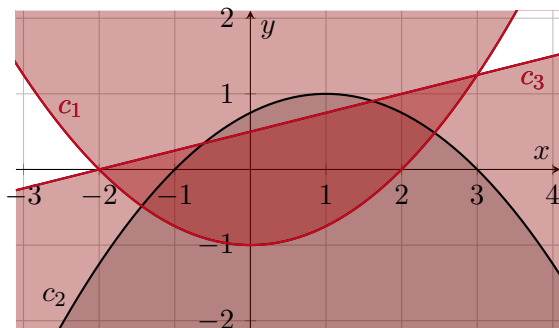
$$c_3 \rightarrow y \notin (-\infty, 0.5)$$

Construct covering

$$(-\infty, 0.5), (-1, \infty)$$

An example

$$c_1 : 4 \cdot y < x^2 - 4 \quad c_2 : 4 \cdot y > 4 - (x - 1)^2 \quad c_3 : 4 \cdot y > x + 2$$



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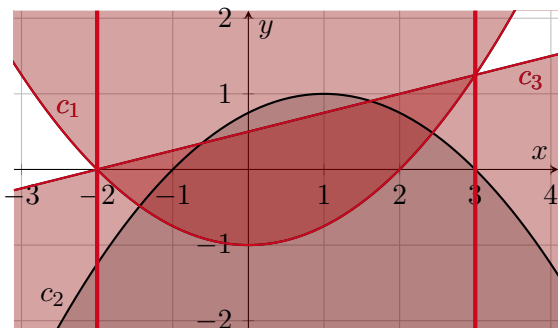
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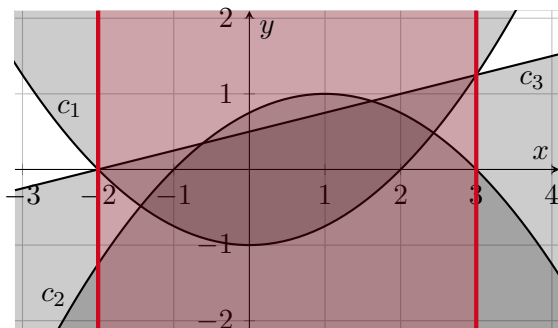
$$(-\infty, 0.5), (-1, \infty)$$

Construct interval for x

$$x \notin (-2, 3)$$

An example

$$c_1 : 4 \cdot y < x^2 - 4 \quad c_2 : 4 \cdot y > 4 - (x - 1)^2 \quad c_3 : 4 \cdot y > x + 2$$



No constraint for x

Guess $x \mapsto 0$

$$c_1 \rightarrow y \notin (-1, \infty)$$

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$$c_3 \rightarrow y \notin (-\infty, 0.5)$$

Construct covering

$$(-\infty, 0.5), (-1, \infty)$$

Construct interval for x

$$x \notin (-2, 3)$$

New guess for x

The main algorithm

```
function get_unsat_cover( $(s_1, \dots, s_{i-1})$ )
```

```
   $\mathbb{I} :=$  get_unsat_intervals( $s$ )
```

```
  while  $\bigcup_{I \in \mathbb{I}} I \neq \mathbb{R}$  do
```

```
     $s_i :=$  sample_outside( $\mathbb{I}$ )
```

```
    if  $i = n$  then return (SAT,  $(s_1, \dots, s_{i-1}, s_i)$ )
```

```
     $(f, O) :=$  get_unsat_cover( $(s_1, \dots, s_{i-1}, s_i)$ )
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```
    if  $f =$  SAT then return (SAT,  $O$ )
```

```
    else if  $f =$  UNSAT then
```

```
       $R :=$  construct_characterization( $(s_1, \dots, s_{i-1}, s_i), O$ )
```

```
       $J :=$  interval_from_characterization( $(s_1, \dots, s_{i-1}), s_i, R$ )
```

```
       $\mathbb{I} := \mathbb{I} \cup \{J\}$ 
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```
  return (UNSAT,  $\mathbb{I}$ )
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The main algorithm

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function get_unsat_cover(( $s_1, \dots, s_{i-1}$ ))
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 $\mathbb{I} := \text{get\_unsat\_intervals}(s)$ 
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Real root isolation over
a partial sample point

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Real root isolation over
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Select sample from $\mathbb{R} \setminus I$

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Recurse to next variable

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CAD-style projection:
Roots of polynomials
restrict where covering
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Real root isolation over
a partial sample point

Select sample from $\mathbb{R} \setminus I$

Recurse to next variable

CAD-style projection:
Roots of polynomials
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Extract interval from
polynomials

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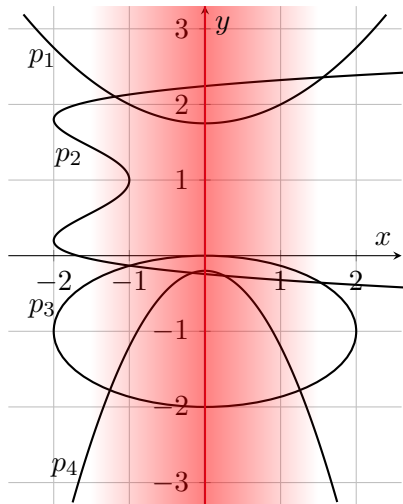
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CAD-style projection:
Roots of polynomials
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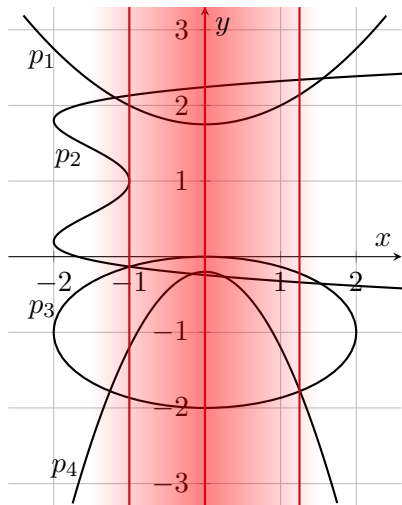
Extract interval from
polynomials

construct_characterization



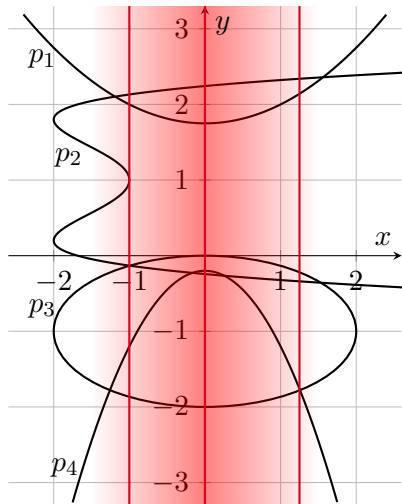
Identify region around sample

construct_characterization



Identify region around sample

construct_characterization



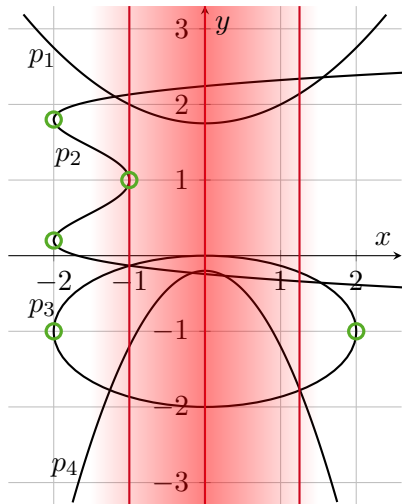
Identify region around sample

CAD projection:

Discriminants (and coefficients)

Resultants

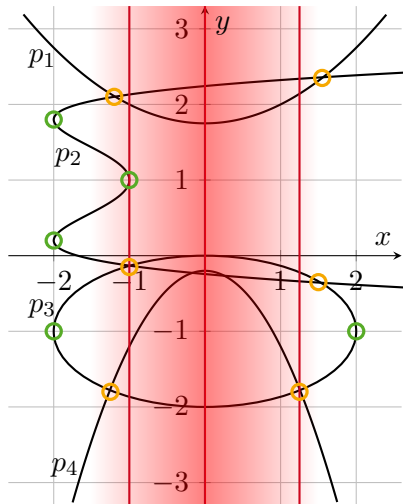
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Identify region around sample
CAD projection:

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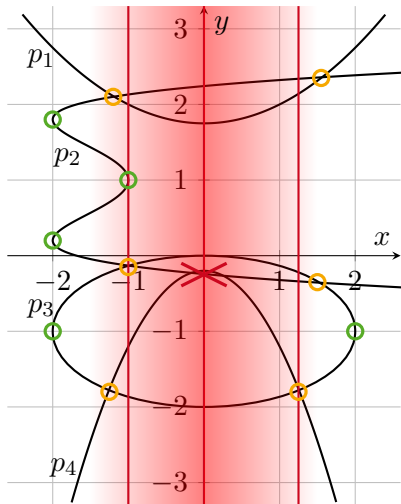


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CAD projection:

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construct_characterization



Identify region around sample
CAD projection:

Discriminants (and coefficients)
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Improvement over CAD:

Resultants between **neighbouring intervals only!**

Experiments

First implemented in SMT-RAT

- ▶ Preliminary implementation (no incrementality, no optimizations)
- ▶ Easily outperforms regular CAD (from [Kremer et al. 2020])

Second implementation in CVC4

- ▶ Work in progress
- ▶ Used when linear solving insufficient
- ▶ Outperforms currently best solver (yices)

Solver	SAT	UNSAT	overall	
CVC4 (without CAC)	2148	3268	5416	47.1%
CVC4 (CAC)	4990	5369	10359	90.1%
yices (NLSAT)	4904	5437	10341	90.0%

Some interesting points

Comparison to regular CAD (like [Kremer et al. 2020]):

- ▶ Projection is “more local” (similar to [Moura et al. 2013] or [Brown 2015])
- ▶ Cells are larger (due to avoided resultants)
- ▶ Less bookkeeping to manage projection and lifting
- ▶ Allows to construct reasons for infeasibility

Future work

- ▶ Variable ordering (right now from [England et al. 2014])
- ▶ Incrementality and backtracking
- ▶ Use other projection operators (like [Lazard 1994])
- ▶ Exploit equational constraints
- ▶ Adaption to universally quantified problems or full first-order logic
- ▶ Asymptotic complexity

References

- ▶ Erika Ábrahám, James H. Davenport, Matthew England, and Gereon Kremer. Deciding the Consistency of Non-Linear Real Arithmetic Constraints with a Conflict Driven Search Using Cylindrical Algebraic Coverings. Under review. 2020. arXiv: 2003.05633.
- ▶ Christopher W. Brown. “Open Non-uniform Cylindrical Algebraic Decompositions”. In: ISSAC. 2015, pp. 85–92. DOI: 10.1145/2755996.2756654.
- ▶ Matthew England, Russell Bradford, James H. Davenport, and David Wilson. “Choosing a Variable Ordering for Truth-Table Invariant Cylindrical Algebraic Decomposition by Incremental Triangular Decomposition”. In: ICMS. Vol. 8592. LNCS. 2014. DOI: 10.1007/978-3-662-44199-2_68.
- ▶ Gereon Kremer and Erika Ábrahám. “Fully Incremental Cylindrical Algebraic Decomposition”. In: Journal of Symbolic Computation 100 (2020), pp. 11–37. DOI: 10.1016/j.jsc.2019.07.018.
- ▶ Daniel Lazard. “An Improved Projection for Cylindrical Algebraic Decomposition”. In: Algebraic Geometry and its Applications. Springer, 1994, pp. 467–476. DOI: 10.1007/978-1-4612-2628-4_29.
- ▶ Leonardo de Moura and Dejan Jovanović. “A Model-Constructing Satisfiability Calculus”. In: VMCAI. Vol. 7737. LNCS. 2013, pp. 1–12. DOI: 10.1007/978-3-642-35873-9_1.

Bath is recruiting!

Post-doctoral researcher for three years, ideally starting 1 October 2020 (but can be flexible).

Typical starting salary £39,000.

To work on a joint project with Matthew England on “Pushing Back the Doubly-Exponential Wall of Cylindrical Algebraic Decomposition”.

Covid-19 has got in the way of formal advertising, but express interest to J.H.Davenport@bath.ac.uk